Annexure I

The list of reports to be provided for OTB will be as under.

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1. **Member Report for Successful Direct Client Funds Payout**

For trading member:

Tender Offer_T_<TMCODE>_DIRECTPO_S_DDMMYYYY

For clearing member:

Tender Offer_<CMCODE>_DIRECTPO_S_DDMMYYYYs

Header	Type	Mandatory /Optional	Value
Segment Indicator	CHAR	M	C
Order Number	CHAR	M	
TM Code	CHAR	M	Pay-out to the client
Transaction Description	CHAR	M	
UCC	CHAR	M	
Bank	CHAR	M	
Bank Account	CHAR	M	
Payout Amount in Rs	NUMBER	M	

2. Member Report for Failed Direct Client Funds Payout

For trading member:

Tender Offer_T_<TMCODE>_DIRECTPO_F_DDMMYYYY

For clearing member:

Tender Offer_<TMCODE>_DIRECTPO_F_DDMMYYYY

Header	Type	Mandatory /Optional	Value
Segment Indicator	CHAR	M	C
Order Number	CHAR	M	
TM Code	CHAR	M	
Transaction Description	CHAR	M	
UCC	CHAR	M	
Bank	CHAR	M	
Bank Account	CHAR	M	
Payout Amount in Rs	NUMBER	M	

3. Client Allocation Details for Tender Offer (Report to Buyer Member)

For clearing member/Custodian:

C_CADT_memcode__X_<settno>DDMMYYYY.csv

Field	Width	Remark
Record Type	Number (02)	1
File Type	Char	
Member Type	Char (1)	
Member Code	Char (6)	
Date	Char (8)	YYYYMMDD
Total Number of Records	Number	
Total Quantity	Number	

Field	Width	Remark
Record Type	Number (2)	10
Settlement Type	Char (1)	X
Settlement No	Char (7)	YYYYNNN
Delivery Type	Char (1)	N
Security Symbol	Char (12)	
Security Series	Char (2)	
Security ISIN	Char (12)	
Quantity Received	Number (9)	
Depository	Char (5)	
Transaction No	Char (15)	
Quantity Credited to CM Pool A/c / Clearing A/c	Number (9)	
Quantity Credited to Beneficiary A/c	Number (9)	

Field	Width	Remark
Record Type	Number (2)	20
Settlement Type	Char (1)	X
Settlement No	Char (7)	YYYYNNN
Delivery Type	Char (1)	N
Security Symbol	Char (12)	
Security Series	Char (2)	

Security ISIN	Char (12)	
Depository	Char (5)	
Beneficiary Account No	Char (16)	
Quantity Required to be in Beneficiary A/c	Number (9)	
Quantity actually credited in Beneficiary A/c	Number (9)	
Transaction No	Char (15)	

4. **Client Securities Payout Report (Report to Seller Clearing Member/Custodian)**

Report to Clearing Member/Custodian:

C_CADT_memcode_X_<SETTLNO>_DDMMMYYYY.csv

Fields	Type	Remarks
Record type number	Numeric 2	1
CADT	Character 4	
Member type	character 1	
Member code	Numeric 5	
Date	Numeric 8	Date in YYYYMMDD format.
Number of detailed records	Number 15	
Total Quantity	Numeric 15	
Total pool Quantity	Numeric 15	
Total client Quantity	Numeric 15	

Fields	Type	Remarks
Record type number	Numeric 2	10
Settlement Type	Character 1	
Settlement Number	Numeric 8	
Delivery type	Character 1	
Security symbol	Alphanumeric 10	
Security series	Character 2	
ISIN code	Alphanumeric 12	
Total Quantity	Numeric 15	
Depository Id	Alphanumeric 8	
Transaction number	Numeric 15	
Pool Quantity	Numeric 15	
Client Quantity	Numeric 15	
DP Id	Alphanumeric 15	
Credit BO Acc Number	Alphanumeric 15	

5. Tender offer Acceptance report

For trading Member:

TenderOffer_T_memcode_ALLOC_symbol_ddmmyyyy.csv

For clearing member/custodian:

TenderOffer_memcode_ ALLOC _symbol_ddmmyyyy.csv

Header Record

Field Name	Type	Remarks
Record Type	Numeric 2	Value = 10
Total allotted qty	Numeric 15	
Total allotted Value	Numeric 9,2	

Detailed Record

Field Name	Type	Remarks
Record Type	Numeric 2	Value = 20
Symbol	Char 12	
Trading Member Code	Alphanumeric 5	
Trade no	Numeric 15	Same as Order number
PAN	Alphanumeric 10	
Client code/ CP code	Char 12	
UCC		
Order Quantity	Numeric 15	
Order Price	Numeric 9,2	
Order amount	Numeric 9,2	
Accepted quantity	Numeric 15	
Accepted Price	Numeric 9,2	
Accepted Amount	Numeric 9,2	
Settlement type	Char 1	
Settlement no	Char 7	
Rejection reason code	Alphanumeric	
Gross Amount		
TDS		
Net Amount		
Exchange Code		N = NSE; B=BSE; M=MSEI

6. Tender offer Obligation Report

For clearing member/custodian:

Seller: M_<PRIMARYCODE>_FOGB_SELL_X_<SETTLNO>_<DDMMYYYY>

Buyer: M_<PRIMARYCODE>_FOBG_BUY_X_<SETTLNO>_<DDMMYYYY>

Fields	Type	Remarks
Settlement Type	Char 1	
Settlement No	Char 7	
Trading Member Code	Alphanumeric 5	
Trading Member Branch Code	Numeric 1	Default 0
Custodian Participant Code	Char 12	
Custodian Code	Char 12	
Security Symbol	Char 12	Dummy symbol
UCC		
Security Series	Char 2	
Security Code	Char 6	Null
Obligation Date	Date	DD-MON-YYYY
No Delivery Security Flag		Null
Cumulative Buy Volume - Physical	Numeric 15	Buy Allot quantity
Cumulative Sell Volume Physical	Numeric 15	Sell Allot quantity
Cumulative Buy Amount - Physical	Numeric 9,2	
Cumulative Sell Amount - Physical	Numeric 9.2	
Cumulative Buy Volume-demat	Numeric 15	buy Allot quantity
Cumulative Sell Volume-demat	Numeric 15	sell Allot quantity
Cumulative Buy Amount - demat	Numeric 9,2	
Cumulative Sell Amount - demat	Numeric 9.2	
Final Obligation Flag	CHAR	Default Y

7. STT Report

For trading Member:

TenderOffer_T_memcode_STT_stp type_stpno_ddmmyyyy.csv

For clearing member:

TenderOffer_memcode_STT_stp type_stpno_ddmmyyyy.csv

Header Record
Record Type (10)
STT date
Due date of payment
Member Type
Member Code
Total STT
Detailed Record (Trading Member level STT information)
Record type (20)
STT date
Trading Member code
Total STT
Detailed Record (Client level STT information)
Record type (30)
STT date
Trading Member code
Client code
Total STT
Detailed Record (Client Securities level STT information)
Record Type (40)
STT date
Trading Member Code
Trading Client code
Settlement Type
Settlement Number
Security Symbol
Security Series
Total Buy Quantity
Total Sell Quantity
Total Buy Value
Total Sell Value
Volume Weighted Average Price
Purchase Quantity – delivery
Sale Quantity – delivery
Sale Quantity – other than delivery
Purchase value – delivery

Sale Value – delivery
Sale Value – other than delivery
STT purchase delivery
STT sale delivery
STT sale other than delivery
Total STT

8. Security wise Demat Delivery Statement for Tender offer

C_DFDS_memcode_X_<settno>_DDMMYYYY.csv

Field	Width	Remark
Record Type (01)	Number (2)	1
File Type	Char (4)	DFDS
Member Type	Char (1)	
Member Code	Char (6)	
Date	Char (8)	YYYYMMDD
Total Number of Records	Number	
Total Quantity	Number	

Field	Width	Remark
Record Type (10)	Number (2)	10
Settlement Type	Char (1)	X
Settlement No	Number (7)	YYYYNNN
Delivery Type	Char (1)	
Security Symbol	Char (12)	
Security Series	Char (2)	
Security ISIN	Char (12)	
Quantity Delivered	Number (9)	
Depository	Char (5)	
Transaction No	Char (15)	

9. Security Wise Demat Receipt Statement for Tender offer

C_DFRS_memcode_X_<settno>_DDMMYYYY.csv

Field	Width	Remark
Record Type	Number (2)	1
File Type	Char (4)	
Member Type	Char (1)	
Member Code	Char (6)	
Date	Char (8)	YYYYMMDD
Total Number of Records	Number	
Total Quantity	Number	

Field	Width	Remark
Record Type	Number (2)	10
Settlement Type	Char (1)	X
Settlement No	Number (7)	YYYYNNN
Delivery Type	Char (1)	
Security Symbol	Char (12)	
Security Series	Char (2)	
Security ISIN	Char (12)	
Quantity Received	Number (9)	
Depository	Char (5)	
Transaction No	Char (15)	

10. Provisional Funds Pay-out Report (On Settlement Day)

For trading Member:

TenderOffer_T_<Symbol>_<memcode>_FUNDS_PROV_DDMMYYYYY.csv

For clearing member:

Tender Offer_<Symbol>_<memcode>_FUNDS_PROV_DDMMYYYYY.csv

Field Name	Type
Segment Indicator	Character 1
Trading Member Code	Character 5
Order Number	Numeric 16
Transaction Description	Character 100
UCC	Character 10
Bank	Character 100
Bank Account	Character 100
Pay-out Amount	Numeric 15

Transaction Description will be as follows:

- Funds Pay-out initiated to the client.
- Funds Pay-out disbursed to the client.
- Funds Pay-out to the members.

11. Final Funds Pay-out Report (After all pay-out is settled)

For trading Member:
TenderOffer_T_<Symbol>_<memcode>_FUNDS_FINAL_DDMMYYYYY.csv
For clearing member:
Tender Offer_<Symbol>_<memcode>_FUNDS_ FINAL_DDMMYYYYY.csv

Field Name	Type
Segment Indicator	Character 1
Trading Member Code	Character 5
Order Number	Numeric 16
Transaction Description	Character 100
UCC	Character 10
Bank	Character 100
Bank Account	Character 100
Pay-out Amount	Numeric 15
UTR No	

Transaction Description will be as follows:

- Funds Pay-out initiated to the client
- Funds Pay-out disbursed to the client
- Funds Pay-out to the member

12. Trade Report

For trading Member:
TenderOffer_T_memcode_TRD_stptype_stpno_ddmmyyyy.csv
For clearing member:
TenderOffer_memcode_TRD_stptype_stpno_ddmmyyyy. csv

Field	Width	Remark
Trade number	Numeric 16	Same as order number
Default	Numeric 2	Null
Security Symbol	Char 12	
Security Series	Char 2	BB/DS/TO
Security Name	Char 30	Security Name
Default	Numeric 2	Null
Default	Numeric 2	Null
Default	Numeric 2	Null
Default	Numeric 5	Null
Default	Numeric 3	Null
Buy/Sell indicator	Numeric 1	1 – Buy 2 - Sell
Trade Quantity	Numeric 15	Accepted Quantity
Trade Price	Numeric 12.2	Acceptance price
Default	Numeric 1	Null
Account Number	Char 10	For seller: Client code/ For Buyer: Pro code
Custodial Participant Code	Char 12	
Default	Char 1	N
Default	Numeric 4	Null
Default	Numeric 1	
Trade Date	Char 20	DD Mon YYYY 00:00:00 (Acceptance date)
Order last update Date	Char 20	DD Mon YYYY HH:MI:SS
Order Number	Numeric 16	
Default	Char 7	Null
Order entry Date	Char 20	DD Mon YYYY HH:MI:SS
Default	Numeric 15	Null
Settlement type	Char 1	X
Settlement number	Numeric 7	YYYYNNN
Exchange Code	Char 1	N NSE; B BSE M-MSEI

13. Tender Offer Stamp Duty Report

For trading Member:
TenderOffer_T_memcode_SD_stptype_stpno_ddmmyyyy.csv
For clearing member:
TenderOffer_memcode_SD_stp type_stpno_ddmmyyyy.csv

Header Record (Member level Stamp Duty information)
Record Type (10)
Stamp Duty date
Due date of payment
Member Type
Member Code
Total Stamp Duty
Detailed Record (Client level Stamp Duty information)
Record type (20)
Stamp Duty date
Trading Member code
Client code
Total Stamp Duty
State Union Territory
Detailed Record Securities level Stamp Duty (Client Information)
Record Type (30)
Stamp Duty date
Trading Member Code
Trading Client code
Settlement Type
Settlement Number
Security Symbol
Security Series
Total Accepted Quantity
Total Accepted Value
Total Stamp Duty